

:90&&' \$GPLQLVWUDWLYH 3URFHGXUH \$3

%XVLQHVVVDFQG \$UIDLUV

\$3 ),6&\$/ 0\$1\$\*(0(17

5HIHUUHQFHV

(GXFDWLRQ &RGH 6HFWLRQ F  
7LWOH 6HFWLRQ  
\$&&-& \$FFUHGLWDWLRQ 6WDQGDUG , , , '

'LVWULFW DGPLQLVWUDWRUV DUH SUQRD'LVOMUUFWSRQWRK  
HVWDEOLVKLQJ DQG PDLQWDLQLQJ VRXQGHEXHUQDQG ERQ  
SRWHQWLDO PLVXVH RI UHVRXUFHV V )LXWWKPSORAKHHVL DQG  
SHUVRQV WR GLVFORVH LPSURSHU DFWLQJWRHVPDVRSHU  
\*RYHUQPHQWDO \$FWLYLWLHV \$FW SHU WKW WRKRNUHQRHHSRU  
LPSURSHU DFWLYLWLHV IURP UHSULVDO RU LQWLPLGDWLRQ

OLVXVH LV WKH LQDSSURSULDWH XVLW WU'LLFW SKEVS RUMMR XBI  
LQFOXGH EXW DUH QRW OLPLWHG WFLFDGXHSWRSWUW'L S  
HPSOR\HHV &ULWHULD XVHG WR GHWHRULQPSORHMKHEWKD  
FRQVWLWXWHV PLVXVH RI UHVRXUFHV DQGOXGWV LFWDSRHOID  
SURFHGXUHV

,QYHVWLJDWLRQV RI VXVSHFWHG PLVXVH WLDLWHGURW DHY  
UHDVRQV LQFOXGLQJ EXW QRW OLPLWHG WFLUFXPHWBO  
DOOHJDWLRQV PDGH E\ HPSOR\HHV RUDWHRQNUGLRFORVHGSX  
WKH FRXUVH RI URXWLQH DXGLWV

6XFK LQYHVWLJDWLRQV VKDOO EH FRQGXFWHG LQ D PDQQH

- x )XOILQOV WKH 'LVWULFWV OHJDO DQG ILGXFLDU\ UHVS
- x 0LQLPLJHV ORVV DQG SURPRWHV UHFRYHU\ RI 'LVWULFW
- x ,GHQWLILHV FRQWUROV ZKLFK VKRXOGHEH XOVHUHQDEWLO  
UHJDUGLQJ PLVXVH RI 'LVWULFW UHVRXUFHV
- x 3URWHFWV WKH ULJKWV RI WKH DFFQVHGGOMKELQVQHU  
WKH SXEOLF LQWHUHV

x 3URYLGHV FRQILGHQWLDOLW\ FRPSDWDEGHDSISWKFDQO  
UHSRUWLQJ UHTXLUHPHQWV DQG

x

&KHFNV UHFHLYHG DW WKH FROOHJHV RYH'U'VWULFWU)HQIDR  
'HSDUWPHQW WR EH UHFRUGHG SULRUDWR &RHSRWLWUQIDVL  
\$FFRXQW 'LVWULFW )LQDQFH VWDIIRIRORQFLRQHWKOKHE'DVLE

&KHFNV UHFHLYHG DW WKH FROOHJH HSKDWLVDHGH LOHVMKMW&D  
\$FFRXQW WKURXJK \$ 5 &DVKLHUV 2IILFH

7KH VDPH SURFHGXUHV DUH UHTXLUHG WLVRODQVLWBG LRAQLFR  
JURXQGV ZKLFK LQFOXGH &RPPXQLW\ (GQVFWQBRQL&RQWGD  
VHOHFWHG \*UDQW DQG &KLOG 'HYHORSPHQW &HQWHUV

3HWW\ &DVK UHTXHVWV PXVW EH VXE PL R W M I G H Z W W D Q Q D F V D

3HWW\ &DVK UHTXHVWV ZLOO QRW EHI S B B FIR V V P I G H I R U Q U M L F

'LVWULFW )LQDQFH D Q G G O D X G G W F R M B H W W \$ & P L V K L V W U D W R U V  
KHOG DFFRXQWDEOH IRU LPSURSULHS S D L W P L G H W L I L H G E \ V

5HYROYLQJ )XQG

7KH 'LVWULFW PDLQWDLQV D UHYROYLQJ G F D V K X M H G G I R U

HPHUUJHQFLHV RFFXUULQJ DW HLWKH B D F Q R O W J D I R W I B V W D U D

'LVWULFW ZDUUDQW &KHFNV ZULWWHQHI D S S U M R K H I G 5 H E X R W M

&KDQFHOURU 9LFH &KDQFHOURU RU GHVLJQHH

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'DWH \$SSURYHG 0DUFK